Image# 14961191009 PAGE 1 / 37

### **FEC** FORM 3X

## **REPORT OF RECEIPTS** AND DISBURSEMENTS For Other Than An Authorized Committee

- Citim Ox	or Other Tha	in an authorize	a Committe	e		Office Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT		ample: If typir er the lines.	ng, type	12FE4M5	
Securities Industry and	Financial M	larkets Associ	ation Polit	ical Action	n Committ	<b>ee</b>
ADDRESS (number and street)	1101 New York	Avenue, NW				
Check if different	8th Floor					
than previously reported. (ACC)	Washington				DC	20005
2. FEC IDENTIFICATION NU	MBER ▼	CITY 🛦		5	STATE A	ZIP CODE ▲
C C00431312		3. IS THIS REPORT	$\sim$	IEW N) <b>OR</b>	AM (A)	ENDED
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2	) × M	May 20 (M5)	Aug	20 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Duc on.	Mar 20 (M3)		Jun 20 (M6)		20 (M9) Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1	1) (-) -	Apr 20 (M4)		Jul 20 (M7)	. —	20 (M10) Jan 31 (YE)
July 15 Quarterly Report (Q2	(c) 12-D PRE	eay -Election ort for the:	Primary (12P		General (	
October 15 Quarterly Report (Q3			Convention	.20)	opoolai (	
January 31 Year-End Report (YE	≣)	Election on	M = M /	D D /		in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	POS	T-Election	General (300	i)	Runoff (3	OR) Special (30S)
Termination Report (TER)	неро	ort for the:  Election on	M = M /	D D /	Y = Y = Y = Y	in the State of
5. Covering Period 04	/ D D /	2014	through	04	30	2014
I certify that I have examined this	s Report and to	-	wledge and b	pelief it is tru	e, correct and	I complete.
	enneth E. Bentsen J		[Electronically	Filed] D	ate 05	/ D D / Y Y Y Y Y 2014
NOTE: Submission of false, errone	ous, or incomplet	te information may s	ubject the pers	son signing th	is Report to th	e penalties of 2 U.S.C. §437g.
Office Use Only						FEC FORM 3X Rev. 12/2004

## SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

#### Securities Industry and Financial Markets Association Political Action Committee

2014 04 30 2014 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 249148.88 January 1, 2014 (b) Cash on Hand at 171615.79 Beginning of Reporting Period..... 157913.35 63343.49 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 234959.28 407062.23 6(a) and 6(c) for Column B)..... 29863.48 201966.43 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 205095.80 205095.80 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

#### Securities Industry and Financial Markets Association Political Action Committee

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
ontributions (other than loans) From:	10441 11110 1 01104	
Individuals/Persons Other		
Than Political Committees		
(i) Itemized (use Schedule A)	59377.59	128601.02
(ii) Harita mirrad	1602.42	5845.90
	, 1602.42	3040.30
Lines 11(a)(i) and (ii)▶	60980.01	134446.92
Political Party Committees	0.00	0.00
(such as PACs)	2000.00	22000.00
(		
11(a)(iii), (b), and (c)) (Carry	20222.24	456440.00
Totals to Line 33, page 5)	62980.01	156446.92
arty Committees	0.00	0.00
Loans Received	0.00	0.00
Louis Floorives		7
an Repayments Received	0.00	0.00
· ·	7	7
arry Totals to Line 37, page 5)	363.48	1466.43
efunds of Contributions Made		
Federal Candidates and Other		
olitical Committees	0.00	0.00
her Federal Receipts		
· · · · · · · · · · · · · · · · · · ·	0.00	0.00
(from Schedule H3)	0.00	0.00
	0.00	2.22
Levin Funds (from Schedule H5)	0.00	0.00
Total Transfers (add 18(a) and 18(b))	0.00	0.00
	Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)	Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
. Operating Expenditures: – (a) Allocated Federal/Non-Federal		Jaichan Teal-to-Date			
Activity (from Schedule H4)					
(i) Federal Share	0.00	0.00			
(ii) Non-Federal Share	0.00	0.00			
(b) Other Federal Operating					
Expenditures	363.48	1466.43			
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	363.48	1466.43			
Transfers to Affiliated/Other Party		7			
Committees	0.00	0.00			
Contributions to Federal Candidates/Committees and Other Political Committees	29500.00	200500.00			
Independent Expenditures	0.00	0.00			
(use Schedule E) Coordinated Party Expenditures	3.00	0.00			
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00			
Loan Repayments Made	0.00	0.00			
Loans MadeRefunds of Contributions To:	0.00	0.00			
(a) Individuals/Persons Other Than Political Committees	0.00	0.00			
(b) Political Party Committees	0.00	0.00			
(c) Other Political Committees (such as PACs)	0.00	0.00			
		,			
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))▶	0.00	0.00			
(add Lines 20(a), (b), and (c),					
Other Disbursements	0.00	0.00			
Federal Election Activity (2 U.S.C. §431(20))					
(a) Allocated Federal Election Activity					
(from Schedule H6) (i) Federal Share	0.00	0.00			
(,					
(ii) "Levin" Share	0.00	0.00			
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00			
(c) Total Federal Election Activity (add					
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00			
Total Disbursements (add Lines 21(c), 22,					
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	29863.48	201966.43			
Total Federal Disbursements					
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	29863.48	201966.43			

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	62980.01	156446.92
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	62980.01	156446.92
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	363.48	1466.43
. Offsets to Operating Expenditures (from Line 15, page 3)	363.48	1466.43
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

Use separate schedule(s) for each category of the Detailed Summary Page

FOF	FOR LINE NUMBER:					6	OF	37
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Bryan Weadock  Mailing Address 111 E 80th Street		Date of Receipt
Apt 8A		04 01 2014
City	State Zip Code NY 10075-0352	Transaction ID : 21671094
New York	141 10073-0332	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	1
Bank of America Merrill Lynch	Managing Director, Co-Head Global FICC	
Receipt For:  Primary General  Other (specify)	Aggregate Year-to-Date ▼ 5000.00	Contribution
Full Name (Last, First, Middle Initial) James Rosenthal	'	Date of Receipt
Mailing Address 241 Central Park West		M = M / D = D / Y = Y = Y
Apt 9F City	State Zip Code	04 04 2014
New York	NY 10024-4796	Transaction ID : 21675971  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	1
Morgan Stanley	coo	
Receipt For:  Primary General  Other (specify)	Aggregate Year-to-Date ▼ 5000.00	Contribution
Full Name (Last, First, Middle Initial) Horace Lurton	'	Date of Receipt
Mailing Address 5004 Scarsdale Road		M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City	State Zip Code	Transaction ID: 21676009
Bethesda	MD 20816-2438	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	1
Janney Montgomery Scott LLC	Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution

Use separate schedule(s) for each category of the Detailed Summary Page

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Full Name (Last, First, Middle Initial)	cial Markets Association Political Ad	
Lisa Kidd-Hunt  Mailing Address 3531 Raymoor Road		Date of Receipt
City	State Zip Code	04 08 2014 Transaction ID : 21680520
Kensington	MD 20895-3127	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	-
Charles Schwab Corporation, The	Executive Vice President	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Ronald J. Kruszewski		Date of Receipt
Mailing Address One Financial Plaza		M = M / D = D / Y = Y = Y
501 N. Broadway City	State Zip Code	04 08 2014
Saint Louis	MO 63102-2131	Transaction ID : 21680521  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer Stifel, Nicolaus & Company, Incorporat	Occupation Chairman & CEO	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	Contribution
Full Name (Last, First, Middle Initial) Ms. Karen Shakoske		Date of Receipt
Mailing Address 1835 Arch Street Apt. 1410		04 08 2014
City Philadelphia	State Zip Code PA 19103-2784	Transaction ID : 21680525
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period  1000.00
Name of Employer	Occupation	-
Janney Montgomery Scott LLC	SVP - Director of Marketing	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	Contribution
	1000.00	Contribution

Use separate schedule(s) for each category of the Detailed Summary Page

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	al Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial)  Mr. John F.W. Rogers		Date of Receipt
Mailing Address 85 Broad Street		04 08 2014
City	State Zip Code	Transaction ID : 21680526
New York	NY 10004	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	
Goldman (The) Sachs Group, Inc.	Managing Director	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 5000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. James A. Tricarico Jr.		Date of Receipt
Mailing Address 766 Park Avenue		M = M / D = D / Y = Y = Y
City	State Zip Code	04 08 2014
Manhasset	NY 11030-2809	Transaction ID : 21680527
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	
Edward Jones	General Counsel	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	Contribution
Full Name (Last, First, Middle Initial)  Mr. Kenneth E. Bentsen Jr.		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		04 02 2014
City Washington	State         Zip Code           DC         20005-4269	Transaction ID : 21680565  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	192.35
Name of Employer	Occupation	
Securities Industry and Financial Mark	President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	1346.45	
SUBTOTAL of Receipts This Page (optional)		10192.35

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(c	he	ck only	or	ne)					
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or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	the name and address of any political committee	to solicit contributions from such committee.
-	ncial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial)  Ms. Kyle Brandon		Date of Receipt
Mailing Address 120 Broadway  35th Floor		04 02 2014
City	State Zip Code	Transaction ID: 21680567
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director, DOR	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	673.05	
Full Name (Last, First, Middle Initial)  B. Ms Kim Chamberlain		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor		04 02 2014
City	State Zip Code	Transaction ID: 21680569
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director & Counsel, State Gov	
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General Other (specify) ▼	269.50	
Full Name (Last, First, Middle Initial)		
Ms. Cheryl Crispen		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor City	State Zip Code	04 02 72014
Washington	DC 20005-4279	Transaction ID : 21680571  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Executive Vice President, Communicatio	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	673.05	
SUBTOTAL of Receipts This Page (optional	) <b>&gt;</b>	230.80
TOTAL This Period (last page this line num	her only)	

FOR LINE NUMBER: PAGE 10 OF 37

Use separate schedule(s)	(check only one)							
for each category of the Detailed Summary Page	X 11a 11b 11c 12							
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or for commercial purposes, other than using   NAME OF COMMITTEE (In Full)	d Statements may not be sold or used by any per the name and address of any political committee	to solicit contributions from such committee.
	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial)  Ms. Bradley Gaskins Edgell		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor	01.1. 7. 0.1.	04 02 2014
City Washington	State Zip Code DC 20005-4269	Transaction ID : 21680572
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period  38.50
Name of Employer	Occupation	_
Securities Industry and Financial Mark	Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 269.50	
Full Name (Last, First, Middle Initial)  Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway  35th Floor		04 02 2014
City	State Zip Code	Transaction ID: 21680575
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	76.92
Name of Employer Securities Industry and Financial Mark	Occupation  Managing Director & Counsel	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 538.44	
Full Name (Last, First, Middle Initial) . Ms. Nancy Donohoe Lancia		Date of Receipt
Mailing Address 120 Broadway 35th Floor		04 02 2014
City New York	State Zip Code NY 10271-3599	Transaction ID : 21680576  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director, State Govt. Affairs	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 269.50	
		153.92

Use separate schedule(s) for each category of the Detailed Summary Page

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	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial)  Mr. Carter McDowell		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		04 02 _ 2014 _
City	State Zip Code	04 02 2014 Transaction ID : 21680577
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	288.46
Name of Employer	Occupation	
Securities Industry and Financial Mark	Senior Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 1923.11	
Other (specify)	1020.11	
Full Name (Last, First, Middle Initial)  Mr. Payson R. Peabody		Date of Receipt
Mailing Address 1101 New York Avenue, NW 8th Floor		04 02 / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City	State Zip Code DC 20005-4279	Transaction ID : 21680578
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	269.50	
Full Name (Last, First, Middle Initial)  C. Mr. Thomas F Price	1	Date of Receipt
Mailing Address 120 Broadway 35th Floor		04 02 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City New York	State Zip Code NY 10271-3599	Transaction ID : 21680579  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	269.50	
Other (specify) ▼	269.50	
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	365.46
TOTAL This Period (last page this line numbe	r only)	

FOR LINE	-	: PAGE	12 OF	37				
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<b>X</b> 11a	11b	11c	12					
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or for commercial purposes	s, other than using the	name and address of any political committee t	
NAME OF COMMITTEE Securities Indus	,	I Markets Association Political A	ction Committee
Full Name (Last, First, I Robert Joseph Mod Mailing Address PO Bo	ore		Date of Receipt
	x 2764	7. 0.4	04 08 2014
City Rancho Santa Fe		State Zip Code CA 92067-2764	Transaction ID : 21680588
FEC ID number of cont federal political committee	o e	C	Amount of Each Receipt this Period  5000.00
Name of Employer  LPL Financial		Occupation President	
Receipt For:  Primary  Other (specify) ▼	General	Aggregate Year-to-Date ▼  5000.00	Contribution
Full Name (Last, First, I B. Douglas M Hodge	· 		Date of Receipt
Mailing Address 840 Ne	wport Center Drive		04 17 2014
City		State Zip Code	Transaction ID : 21697507
Newport Beach		CA 92660-6310	Amount of Each Receipt this Period
FEC ID number of cont federal political committee	•	C	5000.00
Name of Employer PIMCO		Occupation  Managing Director, COO	
Receipt For:  Primary  Other (specify) ▼	General	Aggregate Year-to-Date ▼  5000.00	Contribution
Full Name (Last, First, I			Date of Receipt
Mailing Address 1101 N			04 16 / 2014
City Washington		State Zip Code DC 20005-4269	Transaction ID : 21702527  Amount of Each Receipt this Period
FEC ID number of cont federal political committee		C	192.35
Name of Employer		Occupation	-
Securities Industry and F	Financial Mark		
Receipt For:  Primary  Other (specify) ▼	General	Aggregate Year-to-Date ▼ 1538.80	
·		only)	10192.35

	FOR LINE NUMBER:	PAGE	13 OF	37
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Securities industry and Final	ncial Markets Association Political A	ction Committee				
Full Name (Last, First, Middle Initial) <b>A.</b> Ms. Kyle Brandon		Date of Receipt				
Mailing Address 120 Broadway	Mailing Address 120 Broadway					
35th Floor		04 16 2014				
City	State Zip Code	Transaction ID: 21702529				
New York	NY 10271-3599	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	96.15				
Name of Employer	Occupation	_				
Securities Industry and Financial Mark	Managing Director, DOR					
Receipt For:	Aggregate Year-to-Date ▼	-				
Primary General Other (specify) ▼	769.20					
Full Name (Last, First, Middle Initial)  3. Ms Kim Chamberlain	<u>'</u>	Date of Receipt				
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y				
35th Floor		04 16 2014				
City	State Zip Code	Transaction ID: 21702531				
New York	NY 10271-3599	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	38.50				
Name of Employer Securities Industry and Financial Mark	Occupation  Managing Director & Counsel, State Gov	_				
Receipt For:	Aggregate Year-to-Date ▼	1				
Primary General Other (specify) ▼	308.00					
Full Name (Last, First, Middle Initial)  C. Ms. Cheryl Crispen	<u>'</u>	Date of Receipt				
Mailing Address 1101 New York Ave, NW 8th Floor		04 16 2014				
City	State Zip Code	Transaction ID: 21702534				
Washington	DC 20005-4279	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	96.15				
Name of Employer	Occupation	-				
Securities Industry and Financial Mark	Executive Vice President, Communicatio					
Receipt For:		-				
Primary General	Aggregate Year-to-Date ▼					
Other (specify) ▼	769.20					
SUBTOTAL of Receipts This Page (optional	al)	230.80				
TOTAL This Period (last page this line num	nber only)					

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X	11a	11b		11c		12			
	13	14		15		16		17	

	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial)  Ms. Bradley Gaskins Edgell  Mailing Address 1101 New York Ave, NW		Date of Receipt
8th Floor		04 16 2014
City	State Zip Code	Transaction ID : 21702535
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial)  Marin E. Gibson  Mailing Address 120 Broadway		Date of Receipt
35th Floor		04 16 _2014 _
City	State Zip Code	Transaction ID : 21702538
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	76.92
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director & Counsel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	615.36	
Full Name (Last, First, Middle Initial)  C. Ms. Nancy Donohoe Lancia	1	Date of Receipt
Mailing Address 120 Broadway 35th Floor	0	04 16 2014
City New York	State Zip Code NY 10271-3599	Transaction ID : 21702539  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director, State Govt. Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	308.00	
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	153.92
TOTAL This Period (last page this line number	er only)	

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	tatements may not be sold or used by any perso name and address of any political committee to	
NAME OF COMMITTEE (In Full)		_
angle Securities Industry and Financia	al Markets Association Political Act	ion Committee
Full Name (Last, First, Middle Initial)  A. Mr. Carter McDowell		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		04 16 2014
City	State Zip Code	Transaction ID: 21702540
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	288.46
Name of Employer	Occupation	
Securities Industry and Financial Mark	Senior Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	riggrogato roar to bate v	
Other (specify) ▼	2211.57	
Full Name (Last, First, Middle Initial)  3. Mr. Payson R. Peabody		Date of Receipt
Mailing Address 1101 New York Avenue, NW		M = M / D = D / Y = Y = Y
8th Floor City	State Zip Code	04 16 2014
•	DC 20005-4279	Transaction ID : 21702541
Washington	20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	0.0	
Other (specify)	308.00	
Full Name (Last, First, Middle Initial)  C. Mr. Thomas F Price		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor		04 16 2014
City	State Zip Code	Transaction ID: 21702542
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	308.00	
SUBTOTAL of Receipts This Page (optional)		365.46
TOTAL This Period (last page this line number	only)	

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		atements may not be sold or used by any personame and address of any political committee to	
$\overline{\ \ }$	NAME OF COMMITTEE (In Full)		
$\rangle$	Securities Industry and Financia	I Markets Association Political Ac	tion Committee
١.	Full Name (Last, First, Middle Initial) Mr. Christopher Hamel		Date of Receipt
	Mailing Address 6030 E. Mariposa Street		04 15 2014
	City	State Zip Code	Transaction ID : 21705214
	Scottsdale	AZ 85251-1935	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	1000.00
	Name of Employer	Occupation	
	RBC Capital Markets	Managing Director	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	Contribution
3.	Full Name (Last, First, Middle Initial) Cory J Hoeppner		Date of Receipt
	Mailing Address 4836 Harriet Avenue South		M = M / D = D / Y = Y = Y
	Other	Obsta Zin Ossla	04 15 2014
	City	State Zip Code	Transaction ID: 21705217
	Minneapolis	MN 55419-5436	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	500.00
	Name of Employer	Occupation	
	RBC Capital Markets	Managing Director	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
).	Full Name (Last, First, Middle Initial) Mr. James J. Tricolli		Date of Receipt
	Mailing Address 709 Great Springs Road		04 16 _ 2014 _
	City	State Zip Code	Transaction ID: 21705219
	Bryn Mawr	PA 19010-1703	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	500.00
	Name of Employer	Occupation	
	RBC Capital Markets	Managing Director	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General	riggiogate real to bate V	Contribution
	Other (specify) ▼	500.00	
s	UBTOTAL of Receipts This Page (optional)		2000.00
T	OTAL This Period (last page this line number of	only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial)  Mr. Daniel Heimowitz		Date of Receipt
Mailing Address 136 East 79th Street		04 17 2014
City	State Zip Code	Transaction ID : 21705221
New York	NY 10075-0328	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	†
RBC Capital Markets	Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) . Ms. Helen Feinberg	<u>'</u>	Date of Receipt
Mailing Address 702 Pass-a-Grille Way		04 20 2014
City	State Zip Code	Transaction ID: 21705223
St. Pete Beach	FL 33706	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	1
RBC Capital Markets	Managing Director	_
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Matt Boles	<b>'</b>	Date of Receipt
Mailing Address 4659 Belclaire Avenue		04 21 2014
City	State Zip Code	Transaction ID: 21705224
Dallas	TX 75209-6003	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	1
RBC Capital Markets	Managing Director	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	500.00	Contribution
	) <b>&gt;</b>	1500.00

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NAME OF COMMITTEE (In Full)	ng the name and address of any political committee	
,	ancial Markets Association Political A	Action Committee
Full Name (Last, First, Middle Initial)  N. Ron D Morrison		Date of Receipt
Mailing Address 685 Waterbrook Drive		04 21 2014
City	State Zip Code	Transaction ID : 21705226
Irving	TX 75039-3796	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	
RBC Capital Markets	Managing Director	
Receipt For:  Primary General  Other (specify)   ■	Aggregate Year-to-Date ▼ 500.00	Contribution
	000.00	
Full Name (Last, First, Middle Initial)  Lorrie DuPont		Date of Receipt
Mailing Address 399 W Fullerton		M M / D D / Y Y Y Y Y
City	State Zip Code	04 22 2014 Transaction ID : 21705229
Chicago	IL 60614-2876	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	-
RBC Capital Markets	Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  500.00	Contribution
Full Name (Last, First, Middle Initial)  Jack W Falconi		Date of Receipt
Mailing Address 6433 Raptor Court		04 24 2014
City	State Zip Code	Transaction ID : 21705234
North Las Vegas	NV 89084-2847	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	
BB & T Capital Markets	Senior Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	300.00	Contribution
SUBTOTAL of Receipts This Page (ontion	nal)	1300.00
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TOTAL This Period (last page this line nu	mber only)	

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Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any perse name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial)  A. Andrew S Dobler		Date of Receipt
Mailing Address 14248 Post Mill Drive		04 21 2014
City	State Zip Code	Transaction ID: 21705237
Midlothian	VA 23113-3790	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
BB & T Capital Markets	Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial)  Christopher Sivley		Date of Receipt
Mailing Address 823 Cypress Crossing Trail		04 17 2014
City	State Zip Code	Transaction ID: 21705239
Saint Augustine	FL 32095-6847	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
BB & T Capital Markets	Complex Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial)  C. Kimberly A Smith		Date of Receipt
Mailing Address 3707 Raftersridge Drive		04 17 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 21705240
Midlothian	VA 23113-3746	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
BB & T Capital Markets	Senior Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	750.00
TOTAL This Period (last page this line number	only)	

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	statements may not be sold or used by any personal parties to any political committee to	
NAME OF COMMITTEE (In Full)		
,	al Markets Association Political Ac	tion Committee
Full Name (Last, First, Middle Initial)  Elizabeth D. Blake		Date of Receipt
Mailing Address 23 Maxwell Road		04 16 2014
City	State Zip Code	Transaction ID : 21705245
Richmond	VA 23226-1627	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
BB & T Capital Markets	Senior Vice President	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	Contribution
Full Name (Last, First, Middle Initial)  Mr. Randolph C. Snook		Date of Receipt
Mailing Address 74 Beverly Drive		04 29 2014
City	State Zip Code	Transaction ID: 21706407
Bernardsville	NJ 07924-1302	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	2500.00
Name of Employer	Occupation	
Securities Industry and Financial Mark	Executive VP, US Business Policies & P	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  2500.00	Contribution
Full Name (Last, First, Middle Initial)  C. Mr. Michael A. Baumrin		Date of Receipt
Mailing Address 577 4th Street		04 28 2014
City	State Zip Code	Transaction ID : 21706456
Brooklyn	NY 11215-3008	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	
RBC Capital Markets	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	500.00	Contribution
SUBTOTAL of Receipts This Page (optional)		3250.00
TOTAL This Period (last page this line number	only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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Contribution

Contribution

5000.00

500.00

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Mr. Harris Kretsge Date of Receipt Mailing Address 225 W 22nd Street 24 2014 City State Zip Code Transaction ID: 21706463 NY New York 10011-2702 Amount of Each Receipt this Period FEC ID number of contributing C 500.00 federal political committee. Name of Employer Occupation **RBC Capital Markets** Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Contribution 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mr. Brand F. Meyer Date of Receipt Mailing Address 951 East Byrd Street 80 2014 04 City State Zip Code Transaction ID: 21739502 Richmond VA 23219-4040 Amount of Each Receipt this Period FEC ID number of contributing 5000.00 federal political committee. Name of Employer Occupation Wachovia Securities, LLC President, Financial Services Group

Full Name (Last, First, Middle Initial)  C. Keith Shultis		Date of Receipt
Mailing Address 12 Highland Park Plance		04 30 2014
City	State Zip Code	Transaction ID: 21753735
Rye	NY 10580-1633	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	
Goldman Sachs & Co.	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	

Aggregate Year-to-Date ▼

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SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>		Ι	Ι	,	Ι	Ι	7	Ι	600	0.00	
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Receipt For:

Primary

Primary

Other (specify)

Other (specify)

General

General

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	d Statements may not be sold or used by any per the name and address of any political committee to	
/	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial)  A. Mr. Paul J. Cassidy		Date of Receipt
Mailing Address 6200 Uptown Boulevard N Suite 110	ortheast	04 29 2014
City	State Zip Code	Transaction ID : 21753738
Albuquerque	NM 87110-4160	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	†
RBC Capital Markets	Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  500.00	Contribution
Full Name (Last, First, Middle Initial)  Mr. Kenneth E. Bentsen Jr.	I	Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor	01:	04 30 / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City	State Zip Code DC 20005-4269	Transaction ID : 21765943
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	192.35
Name of Employer	Occupation	1
Securities Industry and Financial Mark	President	_
Receipt For:    Primary   General	Aggregate Year-to-Date ▼	
Other (specify)	1731.15	
Full Name (Last, First, Middle Initial)  C. Ms. Kyle Brandon	'	Date of Receipt
Mailing Address 120 Broadway 35th Floor		04 30 2014
City New York	State Zip Code NY 10271-3599	Transaction ID : 21765945  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director, DOR	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General  Other (specify) ▼	865.35	
	) <b>&gt;</b>	788.50
TOTAL This Period (last page this line numb	per only)	

#### SCHEDULE A (FEC Form 3X) IT

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or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms Kim Chamberlain Date of Receipt Mailing Address 120 Broadway 35th Floor 04 30 2014 City Zip Code State Transaction ID: 21765947 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing C 38.50 federal political committee. Name of Employer Occupation Managing Director & Counsel, State Gov Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 346.50 Other (specify) Full Name (Last, First, Middle Initial) B. Ms. Cheryl Crispen Date of Receipt Mailing Address 1101 New York Ave, NW 8th Floor 04 30 2014 City State Zip Code Transaction ID: 21765950 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Executive Vice President, Communicatio Receipt For: Aggregate Year-to-Date ▼ Primary General 865.35 Other (specify) Full Name (Last, First, Middle Initial) c. Ms. Bradley Gaskins Edgell Date of Receipt Mailing Address 1101 New York Ave, NW 30 2014 8th Floor 04 City State Zip Code Transaction ID: 21765951 DC Washington 20005-4269 Amount of Each Receipt this Period FEC ID number of contributing 38.50 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 346.50 Other (specify) 173.15 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Marin E. Gibson Date of Receipt Mailing Address 120 Broadway 35th Floor 04 30 2014 City Zip Code State Transaction ID: 21765954 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 76.92 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director & Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 692.28 Other (specify) Full Name (Last, First, Middle Initial) B. Ms. Nancy Donohoe Lancia Date of Receipt Mailing Address 120 Broadway 35th Floor 04 30 2014 City State Zip Code Transaction ID: 21765955 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director, State Govt. Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 346.50 Other (specify) Full Name (Last, First, Middle Initial) Mr. Carter McDowell Date of Receipt Mailing Address 1101 New York Ave, NW 30 04 2014 8th Floor City Zip Code State Transaction ID: 21765956 DC Washington 20005-4269 Amount of Each Receipt this Period FEC ID number of contributing 288.46 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Senior Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.03 Other (specify) 403.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Mr. Payson R. Peabody Date of Receipt Mailing Address 1101 New York Avenue, NW 8th Floor 04 30 2014 City Zip Code State Transaction ID: 21765957 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 346.50 Other (specify) Full Name (Last, First, Middle Initial) B. Mr. Thomas F Price Date of Receipt Mailing Address 120 Broadway 35th Floor 04 30 2014 City State Zip Code Transaction ID: 21765958 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 346.50 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 77.00 SUBTOTAL of Receipts This Page (optional)..... 59377.59 TOTAL This Period (last page this line number only).....

#### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 26 OF 37 (check only one)  11a
Any information copied from such Reports and Statements or for commercial purposes, other than using the name and NAME OF COMMITTEE (In Full)  Securities Industry and Financial Market	d address of any political committee	to solicit contributions from such committee.
<u> </u>		
Full Name (Last, First, Middle Initial)  AEGON USA, LLC/TRANSAMERICA CORPO  Mailing Address 1001 Pennsylvania Avenue NW  Suite 500A South  City State	Zip Code	Date of Receipt    M = M
Washington DC  FEC ID number of contributing federal political committee.  Name of Employer Occupat	20004 C00236414	Amount of Each Receipt this Period  2000.00
Receipt For:  Primary General  Other (specify) ▼  Aggrega	ate Year-to-Date ▼ 2000.00	Contribution
Full Name (Last, First, Middle Initial)  B.		Date of Receipt
Mailing Address		M M / D D / Y Y Y Y

Full Name (Last, First, Middle Initial)		Data of Receipt
Mailing Address		Date of Receipt
City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	Amount of Each Receipt this Period
Name of Employer	Occupation	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address		M = M / D = D / Y = Y = Y
City	State Zip Code	
FEC ID number of contributing federal political committee.  Name of Employer	Occupation	Amount of Each Receipt this Period
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional)		2000.00

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or for commercial purposes, other than using			
NAME OF COMMITTEE (In Full)	alal Maulcat	a Association Delitical	A -4:
Securities Industry and Finan	ciai iviarket	s Association Political	Action Committee
Full Name (Last, First, Middle Initial)  1. Securities Industry and Financial M	larkota Associ	iation	Data of Bassist
Mailing Address 120 Broadway	Iai NGIS ASSUC	lation	Date of Receipt
Floor 35			04 29 2014
City	State NY	Zip Code	Transaction ID: 21706406
New York	INY	10271	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		363.48
Name of Employer	Occupation	1	
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General		1466 42	Reimbursement of Bank Fees
Other (specify) ▼		1466.43	J.
Full Name (Last, First, Middle Initial)	l		
3.			Date of Receipt
Mailing Address			M = M / D = D / Y = Y = Y
City	State	Zip Code	
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FEC ID number of contributing federal political committee.	С		
Name of Employer	Occupation	1	
Receipt For:			
Primary General	Aggregate	Year-to-Date ▼	
Other (specify) ▼		<u> </u>	
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Full Name (Last, First, Middle Initial)			Date of Receipt
Mailing Address			M = M / D = D / Y = Y = Y
City	State	Zip Code	
		•	Amount of Each Receipt this Period
FEC ID number of contributing	С		
federal political committee.	O .		
Name of Employer	Occupation	1	
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General	/ iggi ogale		1
Other (specify) ▼		7	
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	ny information copied from such Reports and Staten for commercial purposes, other than using the nam									
٣	NAME OF COMMITTEE (In Full)	a.ia addit	c. arry point		3031. 30111110			55		
		larkata ^	annonintin:	Dolitical A -	tion Com	mitta -	_			
/	Securities Industry and Financial M	iaikets A	ASSOCIATION	Political AC	uon Comi	mittee	<del>;</del>			
	Full Name (Last, First, Middle Initial)									
A.	PNC Bank				Date of Dis	sbursem	nent			
					M   M /	D D	/ Y	YY	Y	
	Mailing Address 1400 K Street, NW				04	07		2014		
	Otto	21-1-	7:- 0 !						<del>_</del> _	
	•	State DC	Zip Code		Transacti	ion ID :	21765817	,		
	Washington Purpose of Disbursement	DC	20005							
	Credit Card Fees			001	Amount of	Fach D	)ishursam	ant this	Period	
	Candidate Name				, anount of	Lucii D			7 01100	
				Category/ Type		_		19	0.57	
	Office Sought: House Disbursen	nent For		Type		1	7			
		Primary	General		Credit Card	Ecco				
		Other (spec			Credit Card	rees				
	State: District:	(opoo	<i>77</i> ▼							
_	Full Name (Last, First, Middle Initial)									
В.					Date of Dis	sbursem	nent			
-	1 110 Dank				M M /	D D		Y	Y	
	Mailing Address 1400 K Street, NW				04	02		2014		
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	City	State	Zip Code		Transact	ion ID ·	21765818	ì		
	Washington	DC	20005		Hansact	: טו ווטו	21703010	•		
	Purpose of Disbursement Credit Card Fees									
				001	Amount of	⊨ach D	usburseme	ent this	Period	
	Candidate Name			Category/				14	12.88	
	Office Cought.	T		Туре		7	7			
	Office Sought: House Disbursen		Comerci			_				
		Primary	General		Credit Card	Fees				
	State: District:	Other (spec	···y) ▼							
_										
_	Full Name (Last, First, Middle Initial)				Date of Dis	shuream	nent			
U.	PNC Bank									
	Mailing Address 1400 K Street, NW				04	02	/ Y	2014	Y	
	Maining Address 1400 K Street, NVV				UH	02		2014	_	
	City	State	Zip Code							
	•	DC	20005		Transact	ion ID :	21765819	)		
	Purpose of Disbursement									
	Bank Fee			001	Amount of	Each D	isburseme	ent this	Period	
	Candidate Name			Category/	_				20.00	
				Type		7		3	30.03	
	Office Sought: House Disbursen	nent For:								
	Senate	Primary	General		Bank Fee					
	President	Other (spec	eify) 🔻							
_	State: District:									
Г					-	-	-			
s	SUBTOTAL of Disbursements This Page (optional)					7		36	3.48	
$\vdash$				<u> </u>		-			0.46	
ĺτ	OTAL This Period (last nage this line number only)							36	3.48	

SCHEDULE B (FEC Form 3X)		. FOR LINE	NUMBER: PAGE 29 OF 37
ITEMIZED DISBURSEMENTS	Use separate schedule	(s) check only	
	for each category of the Detailed Summary Page	ie L	22 🔀 23 🔲 24 🔲 25 🖂 26
		27	28a 28b 28c 29 30b
Any information copied from such Reports and State or for commercial purposes, other than using the na			
NAME OF COMMITTEE (In Full)			
Securities Industry and Financial N	Markets Associatio	n Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			
A. Vargas For Congress			Date of Disbursement
Mailing Address 330 Encinitas Blvd., Suite 101			04 08 2014
City	State Zip Code		Transaction ID : 21680626
Encinitas Purpose of Disbursement	CA 92024		114110404101112 1 21000020
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Rep. Juan Vargas	mant Fam. 0044	Туре	1000.00
Senate President	ment For: 2014 Primary Genera Other (specify)	al	Contribution
State: CA District: 51			
Full Name (Last, First, Middle Initial)			Data of Dishuranana
B. Portman For Senate Committee			Date of Disbursement
Mailing Address 9856 Archer Lane			04 08 2014
City	State Zip Code		Transaction ID : 21680627
Dublin	OH 43017		
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Sen. Rob Portman		Туре	1000.00
	ment For: 2016 Primary Genera	ıl	Contribution
State: OH District:	Other (specify) ▼		
State: OH District:	Other (specify)		
State: OH District:  Full Name (Last, First, Middle Initial)  C. Friends Of Jim Clyburn	Other (specify)		Date of Disbursement
Full Name (Last, First, Middle Initial)	Other (specify)		Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial)  C. Friends Of Jim Clyburn  Mailing Address PO Box 12567			04 08 7 2014
Full Name (Last, First, Middle Initial)  C. Friends Of Jim Clyburn	Other (specify) ▼  State Zip Code SC 29211		M M / D D / Y Y Y Y
Full Name (Last, First, Middle Initial)  C. Friends Of Jim Clyburn  Mailing Address PO Box 12567  City Columbia Purpose of Disbursement	State Zip Code		04 08 7 2014
Full Name (Last, First, Middle Initial)  C. Friends Of Jim Clyburn  Mailing Address PO Box 12567  City Columbia Purpose of Disbursement Contribution	State Zip Code	011	04 08 7 2014
Full Name (Last, First, Middle Initial)  C. Friends Of Jim Clyburn  Mailing Address PO Box 12567  City Columbia Purpose of Disbursement Contribution  Candidate Name	State Zip Code	Category/	Transaction ID: 21680632  Amount of Each Disbursement this Period
Full Name (Last, First, Middle Initial)  C. Friends Of Jim Clyburn  Mailing Address PO Box 12567  City Columbia Purpose of Disbursement Contribution  Candidate Name Rep. James E. Clyburn	State Zip Code SC 29211		04 08 2014  Transaction ID : 21680632
Full Name (Last, First, Middle Initial)  C. Friends Of Jim Clyburn  Mailing Address PO Box 12567  City Columbia Purpose of Disbursement Contribution  Candidate Name Rep. James E. Clyburn	State Zip Code	Category/ Type	Transaction ID: 21680632  Amount of Each Disbursement this Period
Full Name (Last, First, Middle Initial)  C. Friends Of Jim Clyburn  Mailing Address PO Box 12567  City Columbia Purpose of Disbursement Contribution  Candidate Name Rep. James E. Clyburn  Office Sought:  House Senate President  State: SC District: 06	State Zip Code SC 29211  ment For: 2014 Primary General Other (specify)	Category/ Type	Transaction ID: 21680632  Amount of Each Disbursement this Period
Full Name (Last, First, Middle Initial)  C. Friends Of Jim Clyburn  Mailing Address PO Box 12567  City Columbia Purpose of Disbursement Contribution  Candidate Name  Rep. James E. Clyburn  Office Sought:  House Senate President  Middle Initial)  Disburse	State Zip Code SC 29211  ment For: 2014 Primary General Other (specify)	Category/ Type	Transaction ID: 21680632  Amount of Each Disbursement this Period  2500.00  Contribution

SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 30 OF 37
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b	22 X 23 24 25 26
		27	28a 28b 28c 29 30b
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NAME OF COMMITTEE (In Full)			
Securities Industry and Financial M	arkets Association F	Political Act	tion Committee
Full Name (Last, First, Middle Initial)			
A. David Scott For Congress			Date of Disbursement
Mailing Address P.O. Box 960821			04 08 2014
,	tate Zip Code		Transaction ID : 21680633
Riverdale Purpose of Disbursement	GA 30296		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	500.00
Rep. David Albert Scott		Туре	000.00
Senate President	ent For: 2014  Primary General  Other (specify)		Contribution
State: GA District: 13			
Full Name (Last, First, Middle Initial)			Data of Dishurasment
<sup>B.</sup> Kay Granger Campaign Fund			Date of Disbursement
Mailing Address 715 Jones Street, Suite 101			04 08 2014
•	tate Zip Code		Transaction ID : 21680636
	TX 76102		11an3action 15 . 21000030
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Rep. Kay Granger		Type	1000.00
Senate	ent For: 2014 Primary		Contribution
Full Name (Last, First, Middle Initial)			
C. Mulvaney For Congress			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address P.O. Box 1975			04 11 2014
,	tate Zip Code		Transaction ID : 21685563
	SC 29721		114115405101112 . 21000000
Purpose of Disbursement Contribution		011	
Candidate Name			Amount of Each Disbursement this Period
Rep. Mick Mulvaney		Category/ Type	3000.00
	ent For: 2014	71 -	
President	Primary General Other (specify) ▼		Contribution
State: SC District: 05			
SUBTOTAL of Disbursements This Page (optional)			4500.00
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SCHEDULE B (FEC Form $3X$		FOR LINE I	NUMBER: PAGE 31 OF 37
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or for commercial purposes, other than using	the name and address of any politic	cal committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
Securities Industry and Finan	cial Markets Association	Political Ac	tion Committee
/			
Full Name (Last, First, Middle Initial)			
A. Friends Of Dennis Ross			Date of Disbursement
Mailing Address BOD 7040			M M / D D / Y Y Y Y Y
Mailing Address PO Box 7310			04 11 2014
City	State Zip Code		
Lakeland	FL 33807		Transaction ID: 21685574
Purpose of Disbursement			
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Rep. Dennis A. Ross		Type	1000.00
Office Sought: House D	isbursement For: 2014		
Senate	Primary General		Contribution
President	Other (specify) ▼		
State: FL District: 12			
Full Name (Last, First, Middle Initial)			
B. Friends Of Pat Toomey			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 2720 Jordan Road			04 11 2014
City.	Otata 7:- Oada	I	
City	State Zip Code		Transaction ID: 21685577
City Orefield Purpose of Disbursement	State Zip Code PA 18069		Transaction ID: 21685577
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Orefield Purpose of Disbursement Contribution		011 Category/ Type	
Orefield Purpose of Disbursement Contribution Candidate Name Sen. Patrick Toomey		Category/	Amount of Each Disbursement this Period
Orefield Purpose of Disbursement Contribution Candidate Name Sen. Patrick Toomey	PA 18069	Category/	Amount of Each Disbursement this Period
Orefield Purpose of Disbursement Contribution  Candidate Name  Sen. Patrick Toomey  Office Sought: House D	PA 18069 isbursement For: 2016	Category/	Amount of Each Disbursement this Period
Orefield Purpose of Disbursement Contribution  Candidate Name  Sen. Patrick Toomey  Office Sought: House D  Senate	isbursement For: 2016  Primary General	Category/	Amount of Each Disbursement this Period
Orefield Purpose of Disbursement Contribution  Candidate Name  Sen. Patrick Toomey  Office Sought:  House Senate President	isbursement For: 2016  Primary General	Category/	Amount of Each Disbursement this Period 2000.00  Contribution
Orefield Purpose of Disbursement Contribution  Candidate Name  Sen. Patrick Toomey  Office Sought: House Senate President  State: PA District:	isbursement For: 2016  Primary General	Category/	Amount of Each Disbursement this Period
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey	isbursement For: 2016  Primary General	Category/	Amount of Each Disbursement this Period 2000.00  Contribution  Date of Disbursement
Orefield Purpose of Disbursement Contribution  Candidate Name  Sen. Patrick Toomey  Office Sought: House Senate President State: PA District:  Full Name (Last, First, Middle Initial)	isbursement For: 2016  Primary General	Category/	Amount of Each Disbursement this Period 2000.00  Contribution  Date of Disbursement
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road	isbursement For: 2016  Primary General  Other (specify) ▼	Category/	Amount of Each Disbursement this Period 2000.00  Contribution  Date of Disbursement
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City	PA 18069  isbursement For: 2016  Primary General Other (specify) ▼  State Zip Code	Category/	Amount of Each Disbursement this Period 2000.00  Contribution  Date of Disbursement
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City Orefield	isbursement For: 2016  Primary General  Other (specify) ▼	Category/	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City	PA 18069  isbursement For: 2016  Primary General Other (specify) ▼  State Zip Code	Category/	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City Orefield Purpose of Disbursement	PA 18069  isbursement For: 2016  Primary General Other (specify) ▼  State Zip Code	Category/ Type	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City Orefield  Purpose of Disbursement Contribution	PA 18069  isbursement For: 2016  Primary General Other (specify) ▼  State Zip Code	Category/ Type	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey	PA 18069  isbursement For: 2016  Primary General Other (specify) ▼  State Zip Code	Category/ Type  011 Category/	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey	isbursement For: 2016  Primary General Other (specify)   State Zip Code PA 18069	Category/ Type  011 Category/	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought:  House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House D	PA 18069  isbursement For: 2016  Primary General Other (specify) ▼  State Zip Code PA 18069  isbursement For: 2016	Category/ Type  011 Category/	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought:  House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought:  House Senate	PA 18069  isbursement For: 2016	Category/ Type  011 Category/	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought:  House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought:  House Senate President	PA 18069  isbursement For: 2016	Category/ Type  011 Category/	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought:  House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought:  House Senate President	PA 18069  isbursement For: 2016  Primary General Other (specify) ▼  State Zip Code PA 18069  isbursement For: 2016  Primary General Other (specify) ▼	Category/ Type  011  Category/ Type	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought:  House Senate President State: PA District:  Full Name (Last, First, Middle Initial)  C. Friends Of Pat Toomey  Mailing Address 2720 Jordan Road  City Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought:  House Senate President State: PA District:	PA 18069  isbursement For: 2016  Primary General Other (specify) ▼  State Zip Code PA 18069  isbursement For: 2016  Primary General Other (specify) ▼  tional)	Category/ Type  011  Category/ Type	Amount of Each Disbursement this Period  2000.00  Contribution  Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y

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or for commercial purposes	s, other than using the nam	e and addr	ess of any polition	cal comi	mittee to	solicit contributions fro	m such committee.	
NAME OF COMMITTEE	(In Full)							
> Securities Indust	try and Financial M	larkets A	Association	Politic	cal Ac	tion Committee		
/	•							
Full Name (Last, First, N	Middle Initial)							
Jim Himes For C	Congress					Date of Disburseme	nt	
						M M / D D	/ Y Y Y Y Y	
Mailing Address 857 Pos	st Road, #312					04 10	2014	
0.1		N	7'- 0-1-					
City	3	State CT	Zip Code			Transaction ID: 2	1696773	
Fairfield  Purpose of Disbursemer	<b>.</b>	CI	06824					
	ry 2014 funds from 2/12/14.			01	11	Amount of Each Dis	bursement this Period	
Candidate Name				01		Amount of Lacif Dis	bursement this renou	
Rep. James A. F	limoe			Cate		1	-1000.00	
		nent For: 2	001.4	Туј	he		7	
	_	Primary	General			[MEMO ITEM]	00444	
	President	Other (spec				Redesignation of Prir	nary 2014 funds from 2/12	
State: CT Distric		Other (spec	ony) ▼					
	• •							
Full Name (Last, First, M						Date of Disburseme	nt	
3. Jim Himes For C	ongress					Date of Disburseme		
Mailing Address 057 D.						04 10	2014	
Mailing Address 857 Po	ost Road, #312					04 10	2014	
City	Ç	State	Zip Code					
Fairfield		CT	06824			Transaction ID: 2	1696775	
Purpose of Disbursemer	nt							
Redesignation of Prima	ry 2014 funds from 2/12/14	contribution		01	11	Amount of Each Dis	bursement this Period	
Candidate Name				Cate	gory/			
Rep. James A. H	Himes			Ty			500.00	
		nent For: 2	2014			[MEMO ITEM]		
	Senate	Primary	General			-	nary 2014 funds from 2/12	
	President	Other (spec	cify) 🔻			contribution.	.,	
State: CT Distric	, <u></u>		2014 Conventi	on				
Full Name (Last, First, M	Middle Initial)							
Jim Himes For C						Date of Disburseme	nt	
	70.1g.000					M M / D D	/ Y Y Y Y Y	
Mailing Address 857 Po	st Road, #312					04 10	2014	
City	5	State	Zip Code			Transaction ID : 2	1606777	
Fairfield		СТ	06824			Transaction ib . 2	1030777	
Purpose of Disbursemer	nt ry 2014 funds from 2/12/14 (	contribution						
	1y 2014 Iunus nom 2/12/14 (	JOHN DUNOH.		01	1	Amount of Each Dis	bursement this Period	
Candidate Name				Cate			500.00	
Rep. James A. I				Tyl	ре		300.00	
		nent For: 2				[MEMO ITEM]		
		Primary	General				nary 2014 funds from 2/12	
	President	Other (spec	cify) 🔻			contribution.		
State: CT Distric	ct: 04							
							0.00	

SCHEDULE B (FEC Form 3X)			T
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ITEMIZED DISBURSEMENTS	for each category of the	(check only	
	Detailed Summary Page	21b	22 X 23 24 25 26
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Any information copied from such Reports and Statem	nents may not be sold or used	by any person	n for the purpose of soliciting contributions
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
Securities Industry and Financial M	arkets Association Po	olitical Act	ion Committee
	arkolo / kooodallori i c	Jillioai 7 lot	
Full Name (Last, First, Middle Initial)			
A. Richard E Neal For Congress Com	mittee		Date of Disbursement
			M M / D D / Y Y Y Y Y
Mailing Address 76 Magnolia Terrace			04 29 2014
•			
City	State Zip Code		T
Springfield	MA 01108		Transaction ID: 21706517
Purpose of Disbursement			
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Rep. Richard E. Neal		Type	1000.00
	nent For: 2014		· · · · · · · · · · · · · · · · · · ·
	Primary Seneral		Contribution
	Other (specify)		Contribution
State: MA District: 02	• • • • • • • • • • • • • • • • • • •		
Full Name (Last, First, Middle Initial)			
B. Kyrsten Sinema For Congress			Date of Disbursement
Nyisten Sinema For Congress			
Mailing Address PO Box 25879			04 29 2014
Maining Address FO Box 23679			04 20 2014
City	State Zip Code		
Tempe	AZ 85285		Transaction ID: 21706556
Purpose of Disbursement			
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Rep. Kyrsten Sinema		Type	1000.00
	nent For: 2014	71	
	Primary General		Contribution
	Other (specify)		Contribution
State: AZ District: 09	Caron (openity)		
Full Name (Last, First, Middle Initial)			
			Date of Disbursement
C. Crowley For Congress			
Mailing Address 84-56 Grand Avenue			04 29 2014
Mailing Address 64-56 Grand Avenue			04 23 2014
City	State Zip Code		
,	NY 11373		Transaction ID: 21706557
Purpose of Disbursement			
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Rep. Joseph Crowley		Type	1000.00
	nent For: 2014		
	Primary General		Contribution
	· // //		Contribution
President	Other (specify) ▼	1	
State: NY District: 07	Other (specify) ▼		
	Other (specify) ▼		
State: NY District: 07			3000.00
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A. Rothf	us For Congress			Date of Disbursement		
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Denn	am For Congress					
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B. Ann Wagner For Congress			Date of Disbursement
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